



Encounter Data User Group Q&A Documentation

Questions and Answers – January 12, 2012 Slide Presentation

Q1: Will the test case identification code be returned in the 277CA file in the TRN02 field?

A1: Yes, the original claim ID submitted will be sent to the submitter on the 277CA acknowledgment report. The number populated in TRN02 will reflect what was populated on the outgoing 837 to CMS in Loop 2300, CLM01 (Patient Control Number).

Q2: Will an ICN be reported on the 277CA for rejected encounters? How should a rejected encounter be submitted?

A2: No. Only accepted encounters returned on the 277CA will have an ICN. Rejected encounters will not have an ICN. If an original claim is submitted and the encounter is returned on the 277CA as a reject, then the claim should be resubmitted as an original claim submission, not as an adjustment.

Q3: Are MAOs and other entities expected to validate all NPIs prior to sending encounters to the EDPS?

A3: Yes, MAOs and other entities must validate all NPIs prior to sending the encounter for EDS processing.

Q4: Will there be standard naming conventions for all files returned to MAOs and other entities, including:

- TA1 – Interchange Acknowledgment
- 999 – Acknowledgment for Health Care Insurance
- 277CA – Health Care Claim Acknowledgment

A4: Yes, there will be standard naming conventions for EDFES TA1, 999 and 277CA acknowledgments reports as well as EDPS transactional reports. The response file naming conventions are as follows:

GENTRAN Outbound File Names:

- T.xxxxx.EDS_RESPONSE.pn - Response File (this file will contain the invalid file messages when a TA1 or 999 could not be built)
- T.xxxxx.EDS_REJT_IC_ISAIEA.pn - Rejected Interchange ISA/IEA Envelope (This file will contain the TA1 Responses)



- T.xxxxx.EDS_REJT_FUNCT_TRANS.pn - Rejected Functional Groups/Transaction Sets (This file will contain the 999R rejected Responses)
- T.xxxxx.EDS_ACCPT_FUNCT_TRANS.pn - Accepted Functional Group/Transaction Sets (This file will contain 999A accepted Responses)
- T.xxxxx.EDS_RESP_CLAIM_NUM.pn - Rejected and Accepted Claims with Claim Number Returned. (This file will contain the 277CA Responses)

FTP File Names:

- 277CAxxxxx.RSP - xxxxx is generated by the system
- 999xxxxx.RSP - xxxxx is generated by the system
- X12xxxxx.X12 - xxxxx is generated by the system (TA1)
- INVxxxxx.INV - Invalid file - could not build TA1 or 999

Q5: When voiding or replacing a chart review, will it get deleted in the EDPS? If yes, what ICN number should MAOs and other entities use in order for the EDPS to know what encounter or chart review encounter they are trying to link to ?

A5: No, it will be flagged as an inactive record in the EDPS. When voiding or replacing a chart review, you should use the ICN of the chart review that you are voiding or replacing.

Q6: Because MAOs and other entities must submit encounter data for dates of service from January 1, 2012 onward in the 5010 format, will CMS accept/expect chart review data for 2011 dates of service in the new 5010 format beginning January 2012?

A6: No, CMS can only accept the 5010 format for 2012 dates of service. MAOs and other entities should submit RAPS data in accordance with RAPS submission guidelines.

Q7: CMS has stated that a valid HIC number must be populated on encounters. Does that pertain to End-to-End testing only?

A7: No, the HIC number must be valid for all encounter data submissions.



Questions and Answers – January 12, 2012 Live Session

Q1: Due to the change in guidance regarding submission of test cases only, how should MAOs and other entities submit volume testing with large production files?

A1: CMS has limited the capacity of volume testing in the test environment. MAOs and other entities are advised to refrain from volume testing until the Spring of 2012.

Q2: When will MAOs and other entities receive guidance regarding 2012 dates of service that are submitted from providers in the 4010 format?

A2: CMS anticipates providing guidance regarding paper claims and bundled claims during the January 26, 2012 User Group session.

Q3: Can CMS provide guidance on submission of Capitated payments when the dollar amount that requires balancing is “0.00”?

A3: MAOs and other entities should submit these encounters with the “0.00” dollar amount in Loop 2300, Segment CN1 Contract Information, Data Element CN101, value "05, as directed in the Professional and Institutional Business Cases for Capitated Encounters.